



Contracts Disclosure Form

This form can be completed online at www.sanantonio.gov/ethics.
If form cannot be completed online, please print, complete and submit
with proposal. All questions must be answered.

For details on use of this form, see Section 2-59 through 2-61 of the City's Ethics Code.

* This is a: New Submission Correction Update to previous submission

* 1) Name of person submitting this disclosure form.

*First William *M.I. R. *Last Vavrik Suffix _____

* 2) Contract Information

a) Contract or Project Name: Professional Asset Management Services for Pavement Condition Assessment

b) Originating Department: Public Works Department

* 3) Disclosure of parties, owners, and closely related persons.

a) Name of individual(s) or entity(ies) seeking a contract with the city.
(NOTE: Give exact legal name as it will appear on the contract, if awarded.)

Applied Research Associates, Inc.

b) Name and title of contract signatory

William R. Vavrik, Ph.D., P.E., Vice President and Sector Manager

c) Name of all owners, board members, executive committee members, and officers of entities listed in question 3a.

Attached to this form, please find a list of ARA's leadership.

*** 4) List any individual(s) or entity(ies) that is a partner, parent, joint venture, or subsidiary entity(ies) of the individual or entity listed in Question 3.**

Not applicable. Contracting party(ies) does not have partner, parent, joint venture, or subsidiary entities.

If applicable, list below names and type of relationship (partner, parent, joint venture or subsidiary entities, and all the owners, board members, executive committee members, officers of each entity):

Applied Research Associates, Inc. is a wholly-owned subsidiary of ARA Holdings, Inc. The following are subsidiaries of Applied Research Associates: Neya Systems, LLC; BerrieHill Research Corporation; and Weston Geophysical Corporation. Please see the leadership information attached.

*** 5) List any individuals or entities that will be subcontractors on this contract.**

Not applicable. No subcontractors will be retained for this contract.

Subcontractors may be retained, but have not been selected at the time of this submission.

If applicable, list below subcontractors, including the name of the owner(s), and business name:

Floodace, LLC: Shiva Sandrana, Vandana Desamsetty
Raba Kistner, Inc.: Paul Hesselink, CEO: Luc Leroy, COO: Rudy Niemantsverdriet, CFO: Ivonne Verlinde, CITO
Wendorf Beward & Partners: Nancy Beward; Suzanne Wendorf; Thomas (Tom) Wendorf, P.E.; Larry Beward
Surveying And Mapping, LLC is owned by SAM Holding Company II, Inc. A list of SAM employee unit holders can be found in Attachment B.

*** 6) List any attorneys, lobbyists, or consultants retained by any individuals listed in Questions 3, 4, or 5 to assist in seeking this contract.**

Not applicable. No attorneys, lobbyists, or consultants have been retained to assist in seeking this contract.

If applicable, list below names and type (attorneys, lobbyists, or consultants) retained to assist in seeking this contract:

*** 7) Disclosure of political contributions.**

List any campaign or officeholder contributions made by the following individuals in the past 24 months to any current member of City Council, former member of City Council, any candidate for City Council, or to any political action committee that contributes to City Council elections:

- a. any individual seeking contract with the city (Question 3)
- b. any owner or officer of entity seeking contract with the city (Question 3)
- c. any individual or owner or officer of an entity listed above as a partner, parent, or subsidiary business (Question 4)
- d. any subcontractor or owner/officer of subcontracting entity retained for the contract (Question 5)
- e. the spouse of any individual listed in response to (a) through (d) above
- f. any attorney, lobbyist, or consultant retained to assist in seeking contract (Question 6)

Not applicable. No campaign or officeholder contributions have been made in preceding 24 months by these individuals.

If applicable, list below name of contributor; to whom; date; and amount:

Updates on Contributions Required

Information regarding contributions must be updated by submission of a revised form from the date of the submission of this form, up through the time City Council takes action on the contract identified in response to Question 2 and continuing for 30 calendar days after the contract has been awarded.

Notice Regarding Contribution Prohibitions for "High-Profile" Contracts

Under Section 2-309 of the Municipal Campaign Finance Code, the following listed individuals are prohibited from making a campaign or officeholder contribution to any member of City Council, candidate for City Council or political action committee that contributes to City Council elections from the 10th business day after a contract solicitation has been released until 30 calendar days after the contract has been awarded:

- a. Any individual seeking a high-profile contract;
- b. Any owner, officer, officer of board, and executive committee member of an entity seeking a high-profile contract, excluding board officers and executive committee members of 501(c)(3), 501(c)(4) and 501(c)(6) non-profit organizations not created or controlled by the City whose board service is done strictly as a volunteer with no financial compensation and no economic gain from the non-profit entity;
- c. The legal signatory of the high-profile contract;
- d. Any attorney, lobbyist or consultant hired or retained to assist the individual or entity in seeking a high-profile contract;
- e. Subcontractors hired or retained to provide services under the high-profile contract; and
- f. Any first-degree member of the household of any person listed in (1), (2), (3) or (5) of this subsection.

Penalty. A high-profile contract cannot be awarded to the individual or entity if a prohibited contribution has been made by any of these individuals during the contribution "black-out" period, which is the 10th business day after a solicitation has been released until 30 calendar days after the contract has been awarded.

* 8) Disclosure of conflict of interest.

Are you aware of any fact(s) with regard to this contract that would raise a "conflict of interest" issue under Sections 2-43 or 2-44 of the City Ethics Code for any City Council member or board/commission member that has not or will not be raised by these city officials?

I am not aware of any conflict(s) of interest issues under Section 2-43 or 2-44 of the City Ethics Code for members of City Council or a city board/commission.

If applicable, provide the conflict(s) of interest below:

* 9) Prohibited Interest in Contracts.

Currently, or within the past twelve (12) months, have you, your spouse, sibling, parent, child or other family member within the first degree of consanguinity or affinity served on a City board or commission?

Yes No

Currently, or within the past twelve (12) months, has an owner, partner or employee of a business entity in which you, your spouse, parent, child own 10% or more of the voting stock or shares, or 10% or more of the fair market value served on a City board or commission?

Yes No

Currently, or within the past twelve (12) months, has an owner, partner, or employee of a business entity who owns 10% or more of the voting stock or shares, or 10% or more of the fair market value, that will be a subcontractor for this contract, served on a City board or commission?

Yes No

If you answered Yes to any questions in Question 9, please list the name of the individual, name of board/commission, and start/end date of service (for each instance).

Notice Regarding Prohibited Interest in Contracts.

Please be aware, the City's Charter and Ethics Code prohibits members of certain more-than-advisory boards and commissions, as well as their close family members and any businesses they or their families hold a 10% or greater ownership interest from obtaining a contract with the City during their board or commission service. The prohibition extends to subcontracts on City contracts, and would also apply to parent, subsidiary or partner businesses owned by the member of the board or commission and their family. Please see Section 141 of the City Charter and Section 2-52 of the City Ethics Code (Prohibited Interests in Contracts) for complete information.

Former members of certain more-than-advisory boards and commissions, their family members and the businesses they own will continue to be prohibited from obtaining any discretionary contracts for one year after leaving City service. Please see Section 2-58 of the City Ethics Code (Prohibited Interest in Discretionary Contracts) for complete information.

Please note that any contract in place at the time the applicant becomes a City officer may remain in effect, but cannot be amended, extended, modified, or changed in any manner during the officer's City service on the more-than-advisory board.

If you have any questions, please contact the Office of the City Attorney to request to speak with a member of the Ethics staff: (210) 207-8940

Acknowledgments

***1. Updates Required**

I understand that this form must be updated by submission of a revised form if there is any change in the information before the discretionary contract, housing and retail development incentive, or the purchase, sale, or lease of real estate to or from the City is the subject of action by the City Council, and no later than 5 business days after any change has occurred, whichever comes first. This includes information about political contributions made after the initial submission and up until 30 calendar days after contract has been awarded.

***2. No Contact with City Officials or Staff during Contract Evaluation**

I understand that a person or entity who seeks or applies for a city contract or any other person acting on behalf of that person or entity is prohibited from contacting city officials and employees regarding the contract after a Request for Proposal (RFP), Request for Qualification (RFQ), or other solicitation has been released.

This no-contact provision shall conclude when the contract is posted as a City Council agenda item. If contact is required with city officials or employees, the contact will take place in accordance with procedures incorporated into the solicitation documents. Violation of this prohibited contacts provision set out in Section 2-61 of the City Ethics Code by respondents or their agents may lead to disqualification of their offer from consideration.

***3. Contribution Prohibitions for "High-Profile" Contracts**

This is not a high-profile contract.

If this is a high-profile contract please complete the following questions:

- I acknowledge that this contract has been designated as a high-profile contract by the city. I further acknowledge that the following individuals are prohibited from making campaign or officeholder contributions to members of City Council, candidates for City Council, or political action committees that make contributions to City Council elections from the 10th business day after the solicitation has been released until 30 calendar days after the contract has been awarded: legal signatory to contract individual(s) seeking the contract, owner or officer of an entity seeking the contract, the spouse of any of these individuals, and any attorney, lobbyist, or consultant retained to assist in seeking the contract.
- I warrant that no contributions have been made by these individuals in violation of Section 2-309 of the Municipal Campaign Finance Code.

***4. Conflicts of Interest Questionnaire (CIQ)**


Chapter 176 of the Local Government Code requires all contractors and vendors to submit a Conflict of Interest Questionnaire Form (CIQ) to the Office of the City Clerk, even if contract is not designated as "High Profile".

I acknowledge that I have been advised of the requirement to file a CIQ form under Chapter 176 of the Local Government Code.

*** Oath**

I swear or affirm that the statements contained in this Contracts Disclosure Form, including any attachments, to the best of my knowledge and belief are true, correct, and complete.

***Print Name:** William R. Vavrik, Ph.D., P.E.

***Signature:** 

Title: Vice President and Sector Manager

***Date:** May 21, 2022

***Company Name or DBA:** Applied Research Associates, Inc.

This form can be completed online at www.sanantonio.gov/ethics.
If form cannot be completed online, please print, complete and submit with proposal. All questions must be answered.

If submitting via regular mail, send to:

Purchasing Department
P.O. Box 839966
San Antonio, Texas 78283-3966

APPLIED RESEARCH ASSOCIATES, INC.**Attachment A - Officers and Directors of ARA****OFFICERS**

<u>NAME</u>	<u>TITLE</u>	<u>BUSINESS ADDRESS</u>	<u>CITY</u>	<u>STATE</u>	<u>ZIP</u>
Robert H. Sues	CEO/President	2760 Eisenhower Ave., Suite 308	Alexandria	VA	22314
Frank A. Maestas	Executive Vice President	4300 San Mateo Blvd., NE, Suite A220	Albuquerque	NM	87110
Curt A. Beckemeyer	Senior Vice President	430 West 5th Street Ste 700	Panama City	FL	32401
Scott E. Blouin	Senior Vice President	2760 Eisenhower Ave., Suite 308	Alexandria	VA	22314
Glen R. McDonald	Senior Vice President	430 W. 5th Street, Suite 700	Panama City	FL	32401
Eduardo Moas	Senior Vice President	1235 South Clark Street, Suite 1212	Arlington	VA	22202
David R. Oakley	Senior Vice President	8537 Six Forks Road, Suite 600	Raleigh	NC	27615
Michael W. Royer	Senior Vice President/Sec./Treas.	2760 Eisenhower Ave., Suite 308	Alexandria	VA	22314
Joseph L. Smith	Senior Vice President	119 Monument Place	Vicksburg	MS	39180
David A. Timian	Senior Vice President	250 Beanville Road	Randolph	VT	05060
George W. Ullrich	Senior Vice President	2760 Eisenhower Ave., Suite 308	Alexandria	VA	22314
Allen R. York	Senior Vice President	8537 Six Forks Road, Suite 600	Raleigh	NC	27615
Jeffery A. Berrie	Vice President	7735 Paragon Road	Dayton	OH	45459
Randall A. Brown	Vice President	8537 Six Forks Road, Suite 600	Raleigh	NC	27615
Robert F. Couch	Vice President	3751 F Wyoming Blvd. SE, Bldg. 20749	Kirtland AFB	NM	87117
Katherine D. Daniels	Vice President	4300 San Mateo Blvd. NE, Suite A220	Albuquerque	NM	87110
Jennifer D. Di Gregorio	Vice President	4300 San Mateo Blvd. NE, Suite A220	Albuquerque	NM	87110
Peter T. Dzwilewski	Vice President	7921 Shaffer Pkwy.	Littleton	CO	80127
Elizabeth A. Fisk	Vice President	4300 San Mateo Blvd. NE, Suite A220	Albuquerque	NM	87110
Larry L. Ghormley	Vice President	4300 San Mateo Blvd. NE, Suite A220	Albuquerque	NM	87110
William T. Goodman	Vice President	2760 Eisenhower Ave., Suite 308	Alexandria	VA	22314
John W. Haas	Vice President	250 Beanville Road	Randolph	VT	05060
Brian K. Heimbuch	Vice President	430 W. 5th Street, Suite 700	Panama City	FL	32401
James A. Kainz	Vice President	8537 Six Forks Road, Suite 600	Raleigh	NC	27615
Francis M. Lavelle	Vice President	8537 Six Forks Road, Suite 600	Raleigh	NC	27615
Jasper C. Lupo	Vice President	2760 Eisenhower Ave., Suite 308	Alexandria	VA	22314
Gene E. McClellan	Vice President	801 North Quincy Street, Suite 700	Arlington	VA	22203
George D. Meegan	Vice President	7921 Shaffer Pkwy.	Littleton	CO	80127
Kyle K. Millage	Vice President	801 North Quincy Street, Suite 700	Arlington	VA	22203
Kenneth B. Milligan	Vice President	4300 San Mateo Blvd. NE, Suite A220	Albuquerque	NM	87110
Thomas R. Monaco	Vice President	1235 South Clark Street, Suite 1212	Arlington	VA	22202
John J. Perry	Vice President	3751 F Wyoming Blvd. SE, Bldg. 20749	Kirtland AFB	NM	87117
Robert E. Quinn	Vice President	250 Beanville Road	Randolph	VT	05060
William L. Ratliff	Vice President	8537 Six Forks Road, Suite 600	Raleigh	NC	27615
Charles V. Red, Jr.	Vice President	430 W. 5th Street, Suite 700	Panama City	FL	32401
Nancy A. Renfroe	Vice President	119 Monument Place	Vicksburg	MS	39180
Michael A. Rochefort	Vice President	430 W. 5th Street, Suite 700	Panama City	FL	32401
Michael C. Seebold	Vice President	8537 Six Forks Road, Suite 600	Raleigh	NC	27615
James D. Shinn II	Vice President	250 Beanville Road	Randolph	VT	05060
Richard H. Speir	Vice President	7180 Troy Hill Drive, Suite C	Elkridge	MD	21075
Janelle K. Varner	Vice President	4300 San Mateo Blvd., NE, Suite A220	Albuquerque	NM	87110
William R. Vavrik	Vice President	100 Trade Centre Drive, Suite 200	Champaign	IL	61820

DIRECTORS

<u>NAME</u>	<u>TITLE</u>	<u>ADDRESS</u>	<u>CITY</u>	<u>STATE</u>	<u>ZIP</u>
James P. Allen	Chairman	14919 Camargo Place	Lakewood Ranch	FL	34202
Curt A. Beckemeyer	Director/Senior Vice President	100 Trade Centre Drive, Suite 200	Champaign	IL	61820
Daniel E. Chitty	Director	3751 F Wyoming Blvd. SE, Bldg. 20749	Kirtland AFB	NM	87117
Ellen M. Pawlikowski	Director	405 McIntosh Way	Macon	GA	31216
Steven K. Ruggieri	Director	15 Hope Street	Bristol	RI	02809
Joseph L. Smith	Director/Senior Vice President	119 Monument Place	Vicksburg	MS	39180
Robert H. Sues	Director/CEO/President	2760 Eisenhower Ave., Suite 308	Alexandria	VA	22314
George W. Ullrich	Director/Senior Vice President	2760 Eisenhower Ave., Suite 308	Alexandria	VA	22314

Attachment B:
Surveying And Mapping, LLC Employee Unit Holders

Chris Solomon

Rob Butler

Greg McKnight

Craig Price

Brett Smith

Kevin Richardson

Travis Engelke

Keith Garry

Michael Rice

Dan Pollock

Greg Cleveland